公 司 帳 戶 申 請 表

Company Account Application Form

Ver. 201910

立 橋 證 券
Well Link Securities

帳戶號碼 Account No(s):
日期 Date:

香港干諾道中 168-200 號信德中心招商局大廈 11 樓 13-15 室 Unit 13-15, 11/F., China Merchants Tower, Shun Tak Centre, 168-200 Connaught Road Central, Hong Kong

為證券及期貨事務監察委員會（"證監會"）註冊為持牌法團（CE編號 AMB404）、香港聯合交易所有限公司參與者、 香港期貨交易所有限公司參與者。
Licensed Corporation with Securities and Future Commission ("SFC") (CE No. AMB404),
Exchange Participant of the Stock Exchange of Hong Kong Ltd, Exchange Participant of Hong Kong Futures Exchange Ltd

開戶表格 ACCOUNT OPENING FORM – 公司 CORPORATE / PARTNERSHIP / SOLE PROPRIETORSHIP

帳戶類別及實體性質 ACCOUNT TYPE & NATURE OF ENTITY

帳戶類別 ACCOUNT TYPE: ☐ 證券現金 Securities Cash ☐ 證券保證金 Securities Margin ☐ 網上 Internet
實體性質 NATURE OF ENTITY: ☐ 獨資經營 Sole Proprietorship ☐ 上市公司 Public Listed Company
☐ 私人有限公司 Private Limited Company ☐ 合夥經營 Partnership ☐ 其他 Others: _____

公司資料 CORPORATION INFORMATION

公司名稱(英文) Name of Company / Corporation in English:	公司名稱(中文) Name of Company / Corporation in Chinese:	
已發行股本 Issued Share Capital:	法定股本 Authorized Share Capital (If applicable 如適用):	營業年期 Years in the Business:
經營名稱 Trading Name (如異於上述 If different from above):		
香港商業登記證號碼 Business Registration No. (H.K.):	註冊日期及註冊國家 Date and Country of Incorporation:	
公司性質(如私人有限公司/上市公司等) Nature of Entity (i.e. Private or Listed Company etc.):	公司編號 Incorporation Number:	
公司業務性質簡述 Brief Description of Nature of Business:		
主要辦事處或商業地址 Office or Place of Business:		
註冊地的註冊辦事處地址 Registered Office Address in Country of Incorporation:	郵編 Postal Code:	
公司電話 Business Phone No.: ( )	傳真號碼 Facsimile No.: ( )	
電郵地址 (大楷) E-mail Address (Block Letter):		

所有帳戶日結單、月結單及其他信件將送至 (請選擇一項) All daily statement(s), monthly statement(s) and correspondences to be sent to (Please tick one):
☐ 電郵地址 E-mail Address ☐ *註冊地址 Registered Address ☐ 香港辦事處地址 Place of Business in Hong Kong
☐ *其它 Other (請註明 specify) _____
*若客戶選擇透過居住地址或通訊地址接收交易確認通知書或帳戶結單，將收取每月五十元服務費。
HK$50 per month will be charged if you choose the Residential Address or Correspondence Address for receiving the trading confirmation statements.

最近的審計帳目，淨資產值為(港幣$)Net Asset Value based on latest audited accounts (HK$):
☐ Below HK$1,000,000 以下 ☐ HK$1,000,000-HK$4,999,999 ☐ HK$5,000,000-HK$10,000,000 ☐ Over HK$10,000,000 以上

最近的審計帳目，淨流動資產值為(港幣$)Net Current Asset Value based on latest audited accounts (HK$):
☐ Below HK$1,000,000 以下 ☐ HK$1,000,000-HK$4,999,999 ☐ HK$5,000,000-HK$10,000,000 ☐ Over HK$10,000,000 以上

最近的審計帳目，除稅後盈利為(港幣$)Profit after Tax based on latest audited accounts(HK$):
☐ Below HK$1,000,000 以下 ☐ HK$1,000,000-HK$4,999,999 ☐ HK$5,000,000-HK$10,000,000 ☐ Over HK$10,000,000 以上
☐ 虧損，數額為 Loss with amount at HK$_____

除非客戶另以書面指示，若客戶指示立橋證券支付客戶賬戶內的現金款項，賬戶資金將被轉入客戶以下的指定(香港)銀行戶口：Unless otherwise instructed by the Client in writing, in case the Client instructs Well Link Securities to pay the cash balance in the Account to the Client, the money will be credited to the below Client's (Hong Kong) Designated Bank Account:
☐ 港元 HKD 銀行名稱及分行 Bank Name and Branch:_____ 帳戶號碼 Account No.: _____
☐ 人民幣 CNY 銀行名稱及分行 Bank Name and Branch:_____ 帳戶號碼 Account No.: _____
☐ 美元 USD 銀行名稱及分行 Bank Name and Branch:_____ 帳戶號碼 Account No.: _____

資金來源 SOURCE OF FUND

開戶目的 Purpose of opening the Account(s): ☐ 投資 Investment ☐ 其他 Others: _____
資金來源地 Place of origin of the funding source: ☐ 香港 Hong Kong ☐ 中國 P.R.C ☐ 其他 Others : _____
資金最初來自 Initial source of fund: ☐ 營運收入 Operational Income ☐ 投資收入 Investment Income ☐ 利息收入 Interest Income
☐ 股東資金/合夥人注資 Funds from shareholder(s) /capital contributions from partners ☐ 出售投資 Sale of Investment
☐ 租金收入 Rental Income ☐ 對外借貸 External Borrowing ☐ 其他 Others: _____
資金的持續來源 Ongoing source of fund: ☐ 營運收入 Operational Income ☐ 投資收入 Investment Income ☐ 利息收入 Interest Income
☐ 股東資金/合夥人注資 Funds from shareholder(s)/capital contributions from partners ☐ 出售投資 Sale of Investment
☐ 租金收入 Rental Income ☐ 對外借貸 External Borrowing ☐ 其他 Others: _____

董事 (適用於公司) / 合夥人 (適用於合夥企業) 的資料 PARTICULARS OF DIRECTORS (FOR CORPORATION) / PARTNERS (FOR PARTNERSHIP)

☐ 個人董事/合夥人 INDIVIDUAL DIRECTOR AND PARTNER

姓名(中文) Name (CHI)	姓名(英文) Name (ENG)	身份證/護照號碼 ID/Passport No.	國籍 Nationality	出生日期 Date of Birth	地址 Address	電話號碼 Telephone Number

☐ 公司董事/合夥人 CORPORATE DIRECTORS/PARTNER

姓名(中文) Name (CHI)	姓名(英文) Name (ENG)	聯絡人 Contact Person	註冊成立日期 Date of Incorporation	註冊成立地點 Place of Incorporation	註冊成立證書編號 Certificate of Incorporation No.	1.註冊辦事處地址 Registered Office Address 2.通訊地址 Correspondence Address	電話號碼 Telephone Number

賬戶實益擁有人身份（不適用於上市公司）THE BENEFICIAL OWNER(S) OF THE ACCOUNT(S) (Not Applicable to Public Listed Company)

客戶是否賬戶的實益擁有人？即客戶是否為自身而不是作為第三方的代名人行事？
Is the Client the beneficial owner in relation to the Account(s)? i.e. Is the Client acting for itself and not as a nominee for a third party?

☐ 是 Yes ☐ 否 No (Please complete this section below 請填寫以下部份)

對於公司客戶而言，實益擁有人為 (i) 可直接或間接擁有或控制不少於客戶已發行股本 10% 的個人；或 (ii) 有權直接或間接在客戶股東大會中行使不少於 10% 投票權的個人；或 (iii) 可對客戶管理層行使最終控制權之個人；或就不記名股份而言，為持有 5% 或以上股本的實益擁有人的個人；或如客戶代其他人士行事，則為該其他人士。In relation to a Client that is a corporation, a beneficial owner means an individual who (i) owns or controls, directly or indirectly, not less than 10% of the issued share capital of Client; or (ii) is, directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the voting rights at general meetings of Client; or (iii) exercises ultimate control over the management of Client; or in the case of bearer shares, an individual who is a beneficial owner holding 5% or more of the share capital; or if the Clients acting on behalf of another person, the other person.

對於合夥企業客戶而言，實益擁有人為 (i) 可直接或間接擁有或控制不少於客戶的股本或溢利的 10%的個人；或 (ii) 有權直接或間接行使客戶不少於 10% 投票權的個人；或 (iii) 可對客戶管理層行使最終控制權之個人；或如客戶代其他人士行事，則指該其他人士。
In relation to a Client that is a partnership, a beneficial owner means an individual who (i) is entitled to or controls, directly or indirectly, not less than a 10% share of the capital or profits of the Client; or (ii) is, directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the voting rights in the Client; or (iii) exercises ultimate control over the management of the Client; or if the Client is acting on behalf of another person, means the other person.

附註：倘客戶為集體投資計劃、全權委託賬戶及／或酌情信託 Note: If the Client is a collective investment scheme, discretionary account and/or discretionary trust:
計劃、賬戶及／或信託名稱: Name of the scheme, account and/or trust: _____

附註：如有多於兩名實益擁有人，請使用續頁 NOTE: Please use continuation sheet(s) if there are more than two Beneficial Owners

姓名(中文) Name (CHI)	姓名(英文) Name (ENG)	身份證/護照號碼 ID/Passport No.	國籍 Nation-ality	出生日期 Date of Birth	職業 Occupation	股權/控制權 (%) Share holding/ Control	與客戶的關係 Relation-ship with Client	1.住址 Residential Address 2.通訊地址 Correspondence Address	電話號碼 Telephone Number

賬戶的操作 OPERATION OF THE ACCOUNT(S)

賬戶可由以下人士操作 The Account(s) may be operated by:
（如果以書面作出指示）由下列獲授權簽署人根據客戶的經核證董事會決議案所載的簽署安排操作（其簽名式樣載於其中）；或
(If the instructions are written) The Authorized Signer(s) named below (whose specimen signature(s) is/are set out in the Client's certified Board resolutions), in accordance with the signing arrangements set out in such certified Board resolutions; or
（如果口頭作出指示）由下列交易代表根據客戶的經核證董事會決議案所載的安排操作。
(If the instructions are oral) The Trading Representative(s) named below in accordance with the operating arrangements set out in the Client's certified Board resolutions.

簽署授權： 任何_____位獲授權人單獨聯合簽署 Signing Instruction: Any_____of the Authorized Signer(s) signing singly/jointly
交易授權： 任何一位交易人員作出交易指示 Trading Authorization: Given by any one of the Trading Representative(s)

賬戶的獲授權簽署人是否與交易代表相同? Is/Are the Authorized Signer(s) same as the Trading Representative(s) for the Account(s)? ☐Yes 是 ☐No 否

獲授權人士簽署式樣 SPECIMEN SIGNATURE OF AUTHORIZED SIGNATORY(IES)

(1) 賬戶的獲授權簽署人 Authorized Signer for the Account(s)			
全 名 Full Name	身份證/護照號碼 ID/Passport No.	國 籍 Nationality	電話號碼Tel. No.
住 址 Residential Address			
簽署 (亦作為簽名式樣) Signature (also as specimen signature)		☐ 簽名式樣參照董事會決議 Referring Signature to Board Resolution	
(2) 賬戶的獲授權簽署人 Authorized Signer for the Account(s)			
全 名 Full Name	身份證/護照號碼 ID/Passport No.	國 籍 Nationality	電話號碼Tel. No.
住 址 Residential Address			
簽署 (亦作為簽名式樣) Signature (also as specimen signature)		☐ 簽名式樣參照董事會決議 Referring Signature to Board Resolution	
(3) 賬戶的獲授權簽署人 Authorized Signer for the Account(s)			
全 名 Full Name	身份證/護照號碼 ID/Passport No.	國 籍 Nationality	電話號碼Tel. No.
住 址 Residential Address			
簽署 (亦作為簽名式樣) Signature (also as specimen signature)		☐ 簽名式樣參照董事會決議 Referring Signature to Board Resolution	

獲授權交易代表簽署式樣 SPECIMEN SIGNATURE OF AUTHORIZED TRADING

(1) 賬戶的交易代表 Trading Representative for the Account(s)			
全 名 Full Name	身份證/護照號碼 ID/Passport No.	國 籍 Nationality	電話號碼 Tel. No.
住 址 Residential Address			
簽署 (亦作為簽名式樣) Signature (also as specimen signature)		☐ 簽名式樣參照董事會決議 Referring Signature to Board Resolution	
(2) 賬戶的交易代表 Trading Representative for the Account(s)			
全 名 Full Name	身份證/護照號碼 ID/Passport No.	國 籍 Nationality	電話號碼 Tel. No.
住 址 Residential Address			
簽署 (亦作為簽名式樣) Signature (also as specimen signature)		☐ 簽名式樣參照董事會決議 Referring Signature to Board Resolution	
(3) 賬戶的交易代表 Trading Representative for the Account(s)			
全 名 Full Name	身份證/護照號碼 ID/Passport No.	國 籍 Nationality	電話號碼 Tel. No.
住 址 Residential Address			
簽署 (亦作為簽名式樣) Signature (also as specimen signature)		☐ 簽名式樣參照董事會決議 Referring Signature to Board Resolution	

相關披露 DISCLOSURE

貴公司是否證券及期貨事務監察委員會之持牌法團?
Is the company a licensed corporation registered/licensed with the Securities and Futures Commission?
☐ 否 No ☐ 是 Yes, 中央編號 CE No. is_____

貴公司之任何董事、股東或獲授權人士是否其它香港聯合交易所或香港期貨交易所有限公司參與者或任何根據證券及期貨條例註冊之人士?
Is any director, shareholder or authorized person of the client an employee of any participant of The Stock Exchange of Hong Kong or Hong Kong Futures Exchange Limited or registered person under the Securities and Future Ordinance?
☐ 否 No ☐ 是 Yes, 董事、股東或獲授權人名稱 Director/Shareholder /Authorized Person Name: _____
職位 Position: _____ 中央編號 CE No. _____

貴公司任何一位董事、股東或獲授權人士是否與立橋證券有限公司(“立橋證券”)或其相關公司的職員有關連?
Is any director, shareholder or authorized person of the client related to any director(s) or employee(s) of Well Link Securities Limited (“Well Link Securities”) or any of its related company?

☐ 否 No ☐是 Yes, 職員名稱 Staff Name:_____關係 Relationship: _____

關連保證金融資帳戶 RELATED MARGIN FINANCING ACCOUNT(S)

貴公司集團旗下之任何成員是否立橋證券其它保證金帳戶的持有人、實益擁有人或擔保人? Is any member(s) of the same company group a holder or beneficial owner or personal guarantor of any other margin account in Well Link Securities?

☐ 否 No ☐是 Yes, 賬戶號碼 A/C No.:(1) _____ (2) _____ (3) _____有關公司名稱 Name of Compan(ies)：_____

貴公司集團包括旗下所有公司有否控制立橋證券其他保證金帳戶持有人百分之三十五或以上的表決權？Is the company which including all members of the same company group in control of 35% or more of the voting rights of another margin client of Well Link Securities?

☐ 否 No ☐是 Yes, 賬戶號碼 A/C No.:(1) _____ (2) _____ (3) _____有關公司名稱 Name of Compan(ies)：_____

投資經驗及目標 INVESTMENT PROFILE AND KNOWLEDGE OF DERIVATIVES PRODUCTS

投資經驗 Investment Experience:			投資目的 Investment Objective:
股票 Securities	☐ 有 Yes，____年 year(s)	☐ 沒有 No	
牛熊證 CCBC	☐ 有 Yes，____年 year(s)	☐ 沒有 No	☐ 短線 Short-term
衍生權證 (窩輪) Warrants	☐ 有 Yes，____年 year(s)	☐ 沒有 No	☐ 中長線 Mid to Long-term
期貨 Futures	☐ 有 Yes，____年 year(s)	☐ 沒有 No	☐ 投機 Speculation
期權 Options	☐ 有 Yes，____年 year(s)	☐ 沒有 No	☐ 對沖 Hedging
其它 Other :_____	☐ 有 Yes，____年 year(s)	☐ 沒有 No	☐ 其它 Other (請註明 please specify) _____

評估客戶對衍生產品的認識Client’s knowledge of Derivatives Products Assessment：

已接受有關介紹一般衍生產品之性質及風險的培訓或課程 by undergoing training or attending courses that provide general knowledge of the nature and risks of derivatives	☐ 有 Yes	☐ 沒有 No
從本人/吾等以前與衍生產品有關之工作經驗 from my/our prior work experience related to derivative products	☐ 有 Yes	☐ 沒有 No
從本人/吾等於過去三年內已進行了五次或以上有關衍生產品之交易 I/we have executed five or more transactions in derivative product within the past three years	☐ 有 Yes	☐ 沒有 No

客戶聲明 DECLARATION BY CLIENT

本開戶表的資料均屬真實及正確。立橋證券完全可以依靠這些資料及陳述作適當用途(包括任何立橋證券收到的客戶更新資料之書面通知)。客戶授權立橋證券可在任何時間聯絡任何人，包括客戶的銀行、經紀等或任何信貸機構，藉以確定及查證本開戶表內的資料。本公司確認不對開戶後帳戶存入現金所產生的利息提 出請求。The information contained in this Client Information Form is true and accurate. Well Link Securities is entitled to rely fully on such information and representations for applicable purposes (including any change hereof in writing as received by Well Link Securities). Well Link Securities is authorized at any time to contact anyone, including my banks, brokers or any credit agency, for the purpose of verifying the information provide on this Client Information Form. We hereby waive the right to the interest generated from cash deposited into the account.

本公司，下述簽署客戶，明白使用任何立橋證券之服務前，當已閱讀、明白及接受由立橋證券所提供的所有有關最新版本的相關客戶協議書 [包括證券賬戶協議書及/或期貨賬戶協議書]("該協議書")的章則條款，並已收到有關條款乙份。而本文件乃相關協議書的一部份。該本公司現申請開立在「帳戶類別」方格中顯示 出剔號的相關帳戶，並同意接受可不時被修改的該協議書包括其一般條款及通用附表所約束。本公司確認已獲提供按本公司選擇的語言(中文/英文)附於本表之 風險披露聲明，並提出問題及徵求獨立的意見(如本公司有此意願)。We the undersigned Client(s), confirm that prior to usage of any of Well Link Securities service(s), have read, understood and agree to bound by all the relevant terms and conditions stated in the provisions of the current version of the relevant Client Agreement of Well Link Securities including Client Securities Account Agreement and/or Client Futures Account Agreement (“Agreement”) and acknowledge receipt of a copy. This document forms part of relevant Agreement(s). We hereby apply to open the account(s) that we prefer which indicated a tick in the box(s) in the “Account Type” cell and agree to be bound by the Agreement(s) including its General Terms and Conditions and all relevant Schedule(s) as the same may be amended from time to time. We acknowledge and confirm that Well Link Securities has provided the Risk Disclosure Statement annexed hereto in a language of my/our choice (Chinese/English) and we have been invited to read the Risk Disclosure Statement, to ask questions and take independent advice if we wish.

授權人士簽署 Client’s Signature **姓名 Name**: **日期 Date**:	**授權人士簽署 Client’s Signature** **姓名 Name**: **日期 Date**:
見證人簽署 Witness Signature **見證人姓名 Witness Name**: **身份證/護照號碼 ID No. / Passport No.:** **日期 Date**:	**公司授權印鑑式樣 Specimen of Authorized Company Chop** **簽署有效條件 Signing Instructions**

職員聲明 DECLARATION BY STAFF

我已經按客戶明白的語言(中文/英文)向_____(獲公司授權人士) 於總行/ _____ 清楚解釋風險披露聲明書的內容，並已邀請客戶閱讀該風險披露聲明，提出問題及徵求獨立的意見(如客戶有此意願)。I have fully explained the contents of the Risk Disclosure Statement to _____(Authorized Person(s) of the client) at headquarter /_____in a language (Chinese/English) which the client(s) fully understand(s) and have invited the client(s) to read the Risk Disclosure Statement, ask(s) questions and take(s) independent advice if required.

持牌代表簽署Signed by Licensed Representative: 日期 Date:	持牌代表全名 Full Name of Licensed Representative: 中央編號 CE No.

電 話 Tel : (852) 3150 7728
網 址 Website : www.wlsec.com

香港幹諾道中 168-200 號信德中心招商局大廈 11 樓 13-15 室 Unit 13-15, 11/F.,
China Merchants Tower, Shun Tak Centre,168-200 Connaught Road Central, Hong Kong
為證券及期貨事務監察委員會（"證監會"）註冊為持牌法團
（CE 編號 AMB404）、香港聯合交易所有限公司參與者、香港期貨交易所有限公司參與者。
Licensed Corporation with Securities and Future Commission ("SFC") (CE No.AMB404), Exchange Participant of the Stock Exchange of Hong Kong Ltd, Exchange Participant of Hong Kong Futures Exchange Ltd

立橋證券有限公司

Well Link Securities

證 券 (Securities)

只供客戶主任使用 For Account Executive Only

客戶主任 Account Executive：_____ 客戶佣金 Brokerage Rate：_____

介紹人 Introduced by：_____(如有 if any) 客戶息率 Loan Interest：_____

關 係 Relationship：_____ 信貸限額 Loan/Credit Limit：_____

其他協議 Other Agreement：(如有 if any) 信用查核 Credit Check ：_____

其 他 Others ：_____ Single Trade Limit for POP ：_____

內部專用 For Internal Use Only

負責人員 RO / OD 簽署： 戶口種類 Type of Account：_____

日 期 Date：_____ 經紀號碼 AE Code_____佣金 息率 _____

交收部 Settlement Dept： 現金買賣限額 Cash Trade Limit：_____

日 期 Date：_____ 保證金融資額 Margin Finance Limit：_____

輸入 ：_____ 日期 Date：_____ 每日累積交易限額 Daily Accumulate Trading Limit：_____

核對輸入： 日期 Date： 准許交易衍生產品： □是 Yes □否 No

批 核 Approved by：_____

日 期 Date ：_____

期 貨 (Futures)

只供客戶主任使用 For Account Executive Only

客戶主任 Account Executive：_____ 客戶佣金 Brokerage rate： (如與標準不同 if differ to standard)

介紹人 Introduced by：_____(如有 if any) 信貸限額 Loan/Credit Limit：_____

關 係 Relationship：_____ 信用查核 Credit Check ：_____

其他協議 Other Agreement：(如有 if any) Trade Limit ：_____

用 戶 組 別 User Group：CNC / CT

(國內用戶 Mainland Client)

其 他 Others ：_____

內部專用 For Internal Use Only

負責人員 RO / OD 簽署：_____ 戶口種類 Type of Account：_____

日 期 Date：_____ 經紀號碼 AE Code_____佣金 _____

交收部 Settlement Dept：_____ 信貸限額 Credit Limit：_____

日 期 Date：_____ Max. Margin：_____

輸入 ：_____ 日 期 Date：_____

核對輸入：_____ 日 期 Date：_____

批 核 Approved by：_____

日 期 Date ：_____