

## 期權服務收費表 ( 美股期權 )

### 期權交易服務

|                                  |                                                                            |
|----------------------------------|----------------------------------------------------------------------------|
| 交易佣金 <sup>1</sup> : 電子交易<br>人手下單 | 每張 0.95 美元 (每筆訂單最低收費 1.99 美元) 以較高者計算<br>每張 0.95 美元 (每筆訂單最低收費 20 美元) 以較高者計算 |
| SEC 美國證監會交易徵費 (只適用於沽出)           | 0.00206% × 成交金額 (每單最低收費 0.01 美元) [2026 年 4 月 3 日(交易日)起生效]                  |
| FINRA 美國金管局交易活動費 (只適用於沽出)        | 0.00329 美元 × 賣出數量 (每單最低收費 0.01 美元)                                         |
| 美國期權清結算機構交收費 <sup>2</sup>        | 每張 0.18 美元                                                                 |
| ORF 美國期權交易所監管費 <sup>3</sup>      | 每張 0.01311 美元 (2026 年 09 月 02 日起)                                          |

### 處理期權清算費

|                 |                           |
|-----------------|---------------------------|
| OCC 美國期權清算公司清算費 | 每張 0.025 美元, 最高每宗收費 55 美元 |
|-----------------|---------------------------|

### 綜合審計追蹤費

|                    |              |
|--------------------|--------------|
| FINRA 美國金管局綜合審計追蹤費 | 每張 0.0003 美元 |
|--------------------|--------------|

### 期權合約行使費

|           |           |
|-----------|-----------|
| 行使期權合約手續費 | 每張 0.9 美元 |
|-----------|-----------|

### 利率收費(美元)

|                   |                               |
|-------------------|-------------------------------|
| 保證金(俗稱“孖展”)帳戶借貸收費 | 基準年利率(參照創興銀行美元同業拆息年利率) + 3.9% |
| 現金帳戶過期利息          | 基準年利率(參照創興銀行美元最優惠年利率) + 7%    |

### 即時美股期權報價資訊服務

|                   |               |
|-------------------|---------------|
| 美股期權報價 (只限非專業投資者) | 每個自然月 1.25 美元 |
|-------------------|---------------|

### 備註:

1. 美股期權以每張交易獨立計算佣金，不設合單。
2. 美國期權交收費由美國清結算機構等上游收取，以覆蓋 NSCC、DTC 等交收成本，不同類型的交易所及交易類型收費不同，而本公司就期權交收費採用綜合費率，如果綜合費率導致客戶支付的期權交收費與上游美國清結算機構收費之間存在成本差異，則本公司或收費方將保留任何多出的費用，但亦不會向客戶收取任何不足之費用。
3. 美國期權交易所監管費 (ORF) 屬交易所收費，費用取決於合約成交的交易所，包括以下交易所 AMEX、BATS、BOX、C2、CBOE、EDGX、ISE、GEMINI、MIAX、NOM、PCX、PHLX 等，由美國期權清算公司 (OCC) 代收，具體費用請以實際扣款金額為準。有關費率或包含相關金融機構的行政費用，而費率可能與收費方成本或各交易所適用的費率之間存在差異，多餘的費用將被保留，但不會向客戶收取任何不足之費用。
4. 本公司將根據交易所、監管等外部機構的要求調整本公司代收的第三方費用，包括美國證監會交易徵費、美國金管局交易活動費、美國期權交收費、美國期權交易所監管費及美國期權清結算費。
5. 當以上費用於第二小數位後還有數值時將會多收 US\$0.01。
6. 非港幣結算產品的交易佣金、徵費及服務收費將以相關結算貨幣計算及支付，客戶需確保其證券賬戶備存有足夠對應的貨幣以供扣除作支付。否則，本公司有權在不通知客戶情況下，強制兌換其賬戶內任何幣種結餘或負結餘作交收用途而匯率將以本公司不時釐定之匯率為準，或收取相關貨幣結欠的利息，而客戶須承擔任何因而招致的所有成本及費用包括利息開支。
7. 以上收費僅供參考且未能盡錄，本公司有權調整以上之收費及利率而毋須另行通知，並保留最終決定權。如有疑問，請致電本公司客戶服務熱線查詢：+852 3150 7728 (香港) +86 755 8206 0899 (內地) +853 8796 5888 (澳門)。

二零二六年九月

## OPTIONS SERVICE CHARGES TABLE ( US STOCK OPTIONS )

### OPTION TRADING SERVICE

|                                                                                                      |                                                                                                                                                |
|------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| Brokerage Commission <sup>1</sup> : Online Trading<br>Telephone Dial-in trading                      | US\$0.95 per contract (Minimum US\$1.99 per order) whichever is higher<br>US\$0.95 per contract (Minimum US\$20 per order) whichever is higher |
| SEC Transaction Levy (Sell order only)                                                               | US\$0.00206 % × Value of Aggregate Sales (Minimum US\$0.01)<br>[Effective as of 3 APR 2026 (Trade day)]                                        |
| FINRA Trading Activity Fee (Sell order only)                                                         | US\$0.00329 × Sold Quantity (Minimum US\$0.01)                                                                                                 |
| US Options clearing and settlement institutions <sup>2</sup><br>Options Settlement Fees <sup>2</sup> | US\$0.18 per contract                                                                                                                          |
| ORF (Options Regulatory Fee) <sup>3</sup>                                                            | US\$0.01311 per contract (From 02 Sep 2026)                                                                                                    |

### CLEARING HANDLING FEE

|                          |                                                   |
|--------------------------|---------------------------------------------------|
| OCC Options Clearing Fee | US\$0.025 per contract (Maximum US\$55 per order) |
|--------------------------|---------------------------------------------------|

### CONSOLIDATED AUDIT TRAIL FEE

|                                    |                         |
|------------------------------------|-------------------------|
| FINRA Consolidated Audit Trail Fee | US\$0.0003 per contract |
|------------------------------------|-------------------------|

### OPTION CONTRACT EXERCISE FEE

|                                       |                      |
|---------------------------------------|----------------------|
| Option Contract Exercise Handling Fee | US\$0.9 per contract |
|---------------------------------------|----------------------|

### FINANCING RATES/ INTEREST CHARGE (USD)

|                                      |                                                                     |
|--------------------------------------|---------------------------------------------------------------------|
| Margin Account Financing Charge      | Base Rate (USD HIBOR Rate p.a. quoted by Chong Hing Bank) plus 3.9% |
| Cash Account Overdue Interest Charge | Base Rate (USD Prime Rate p.a. quoted by Chong Hing Bank) plus 7%   |

### REAL-TIME US MARKET PRICE QUOTE SERVICE

|                                                           |                             |
|-----------------------------------------------------------|-----------------------------|
| US Options Streaming (For Non-Professional Investor Only) | US\$1.25 per calendar month |
|-----------------------------------------------------------|-----------------------------|

### NOTE:

- The above futures trading charges are calculated on a per contract basis.
- Options Settlement Fees are collected by upstream institutions such as clearing and settlement institutions in the US to cover settlement costs such as NSCC and DTC. Different types of Exchanges and transaction types charge different fees. We adopt a blended rate for Options Settlement Fees, if the blended rate results in a discrepancy in between the fee charged by the upstream institutions and the fee paid by the Client, the excess fee will be retained while deficiency will not be charged to the Client.
- The Options Regulatory Fee (ORF) is an Exchange fee charged by various Exchanges, the relevant fees depend on the exchange on which the contract is traded, including AMEX, BATS, BOX, C2, CBOE, EDGX, ISE, GEMINI, MIAX, NOM, PCX, PHLX, etc. The ORF is collected by the Options Clearing Corp (OCC), please refer to the actual fees charged for specific fees. The relevant rates may include administrative fees from related financial institutions. There may be differences between these rates and the actual costs of the charging parties or the applicable rates of each exchange. Any surplus fees will be retained, but clients will not be charged for any shortfalls.
- Any third-party fees collected by the Company according to the requirements of external parties such as Exchanges and regulators, including the US Securities and Exchange Commission (SEC) transaction levy, the US Financial Industry Regulatory Authority (FINRA) trading activity fee, US Options Settlement Fees, Options Regulatory Fee (ORF) and the US Options Clearing Corporation (OCC) clearing fee.
- The above fees will be rounded up to two decimal places.
- For non-HKD denominated products, trading commission, all levies and services charges payable will be calculated and paid based on the settlement currency. client prior to engage in trading such securities shall ensure their securities accounts maintain sufficient amount of trading currency for the purpose of settlement of trade, as well as its relevant taxes and/or stamp duty, levies, commission payable and other transaction related expenses. Otherwise, the Company shall be entitled to charge interests on negative currency balance or to convert any amount of any currencies into any other currencies for settlement or partial settlement of orders for a transaction, at an exchange rate determined by the Company from time to time, prior to settlement as the Company may from time to time in its absolute discretion deem appropriate. The Company shall be entitled to charge and deduct from the client's securities account all costs and expenses including interest charges incurred by it in effecting such conversion, in which the client shall bear in full.
- The above list of fees and charges is for reference only and non-exhaustive, which is also subjected to change at the Company's sole discretion without prior notice. For inquiries, please contact the Company's customer service hotline on +852 3150 7728 (Hong Kong) +86 755 8206 0899 (Mainland China) +853 8796 5888 (Macau).

SEP 2026